



Express Mail No. EV333997423US

INFORMATION DISCLOSURE STATEMENT		
Address to:	Attorney Docket	UCSF-129CIP
Mail Stop RCE	First Named Inventor	GERMAN, MICHAEL S.
Commissioner for Patents	Application Number	09/817,360
P.O. Box 1450	Confirmation No.	2345
Alexandria, VA 22313-1450	Filing Date	March 20, 2001
	Group Art Unit	1635
	Examiner Name	WHITEMAN, BRIAN A.
	Title:	"PRODUCTION OF PANCREATIC ISLET CELLS AND DELIVERY OF INSULIN"

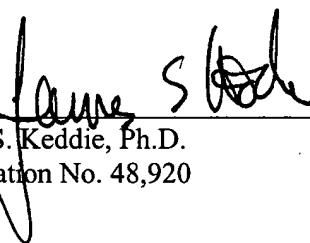
Sir:

This is an Information Disclosure Statement submitted for the Examiner's consideration. A Form PTO-SB/08A listing the references and copies of the cited references accompany this paper. Applicants would appreciate the Examiner's initialing and returning the form to indicate that the references have been reviewed and made of record.

This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one of the above references constitutes prior art to the present application within the meaning of 35 U.S.C. §102.

As applicants have not yet received a first Action on the merits, no fee is believed to be required for filing this Disclosure Statement. If, however, the PTO finds that for some reason a fee is due, our Deposit Account No. 50-0815, Order No. UCSF-129CIP may be charged thereon.

Respectfully submitted,  
BOZICEVIC, FIELD & FRANCIS LLP

By:   
James S. Keddie, Ph.D.  
Registration No. 48,920

Date: June 15, 2004

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	1	Attorney Docket Number	UCSF-129CIP
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## Complete if Known

Application Number	09/817,360
Filing Date	March 20, 2001
First Named Inventor	GERMAN, MICHAEL S.
Art Unit	1635
Examiner Name	WHITEMAN, BRIAN A.

U.S. PATENT DOCUMENTS					
Examiner Initials'	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	US-				
	US-				

## FOREIGN PATENT DOCUMENTS

Examiner Initials'	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

## OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		SCHWITZGEBEL et al., EXPRESSION OF NEUROGENIN3 REVEALS AN ISLET CELL PRECURSOR POPULATION IN THE PANCREAS, Development, 2000, 127: 3533-3542	
		VETERE et al., NEUROGENIN3 TRIGGERS $\beta$ -CELL DIFFERENTIATION OF RETINOIC ACID-DERIVED ENDODERM CELLS, Biochem. J., 2003, 371: 831-841	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**CERTIFICATION  
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Address to:  
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P.O. Box 1450  
Alexandria, VA 22313-1450

Customer Number	24353
Application Number	09/817,360
Confirmation Number	2345
Filing Date	March 20, 2001
First Named Inventor	GERMAN, MICHAEL S.
Examiner Name	WHITEMAN, BRIAN A.
Group Art Unit	1635
Attorney Docket	UCSF-129CIP

I hereby certify that the enclosed Sequence Listing is being submitted under 37 CFR §§ 1.821(c) and (e) in paper and computer readable form (Compact Disk labeled 'CRF').

As required by 37 CFR 1.821(f), I hereby state that the content of the paper and computer readable copy of the Sequence Listing, submitted in accordance with 37 C.F.R. §1.821(c) and (e) are the same.

I hereby certify that the enclosed submission includes no new matter. The Sequence Listing was prepared with the software FASTSEQ, and conforms to the Patent Office guidelines. Applicant respectfully submits that the subject application is in adherence to 37 CFR §§ 1.821-1.825.

The Commissioner is hereby authorized to charge any underpayment of fees associated with this communication, including any necessary fees for extensions of time, or credit any overpayment to Deposit Account No. 50-0815, order number UCSF-129CIP.

Date: June 15, 2004

Respectfully submitted,  
BOZICEVIC, FIELD & FRANCIS LLP

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